EUROPEAN MIFID TEMPLATE - EMT V4

FinDatEx

2022 03 14 FinDatEx MiFID TEMPLATE V4

NUM	DATA (consistent with TPT & EPT for common data point)	DEFINITION	Input	CODIFICATION	COMMENT	Mandatory / Optional / Conditional / Indicative
EMT Data Set Information - Mandatory Section						
1	00001_EMT_Version	This field specifies the output version of the template and is used by the recipient to understand the number of fields expected, their labeling and order.	V4.1	V4.1	V4.1 adds a UK specific section to V4 and both versions remain valid. V4 is similar to V3: item 56 questions if the product considers Sustainability preferences. There is no more country related section and no more information on art 8 / art 9 product type, both introduced in the V3.1. These data are available in the EET. V3.0 and V3.1 versions of the EMT are valid until 31st July 2022	M
2	00002_EMT_Producer_Name	If the Manufacturer/Issuer have chosen to outsource the production of an EMT posting to another party responsible for the production and publication of the EMT data set, such party name should be entered in this field.			This field enables firms to report EMT on behalf of an Issuer/Manufacturer	O
3	00003_EMT_Producer_LEI	If the Manufacturer/Issuer have chosen to outsource the production of an EMT posting to another party responsible for the production and publication of the EMT data set, such party LEI should be entered in this field.			This field enables firms to report EMT on behalf of an Issuer/Manufacturer	O
4	00004_EMT_Producer_Email	Contact entry point for distributors regarding EMT	dorrico@middlefield.co.uk			O
5	00005_File_Generation_Date_And_Time	Date and Time of the creation of the EMT file	2023-11-06 16:30:00	YYYY-MM-DD hh:mm:ss ISO 8601 (UTC+0)	Universal Time zone	M
6	00006_EMT_Data_Reporting_Target_Market	Specifies if the Target Market section is filled in the current EMT posting.	Y	Y / N		M
7	00007_EMT_Data_Reporting_Ex_Ante	Specifies if the Ex-Ante Cost & Charges section is filled in the current EMT posting.	Y	Y / N		M
8	00008_EMT_Data_Reporting_Ex_Post	Specifies if the Ex-Post Cost & Charges section is filled in the current EMT posting.	Y	Y / N		M
General Financial Instrument information - Mandatory Section						
9	00010_Financial_Instrument_Identifying_Data	Identification of the financial instrument	GB00B15PV034	Use the following priority: - ISO 6166 code of ISIN when available - Other recognised codes (e.g.: CUSIP, Bloomberg Ticker, Reuters RIC) - Code attributed by the undertaking, when the options above are not available. Code must be unique and kept consistent over time	Consistent with Solvency II & PRIIPS approach.	M
10	00020_Type_Of_Identification_Code_For_The_Financial_Instrument	Codification chosen to identify the financial instrument	1	One of the options in the following closed list to be used: 1 - ISO 6166 for ISIN code 2 - CUSIP (The Committee on Uniform Securities Identification Procedures number assigned by the CUSIP Service Bureau for U.S. and Canadian companies) 3 - SEDOL (Stock Exchange Daily Official List for the London Stock Exchange) 4 – WKN (Wertpapier Kenn-Nummer, the alphanumeric German identification number) 5 - Bloomberg Ticker (Bloomberg letters code that identify a company's securities) 6 - BBGID (The Bloomberg Global ID) 7 - Reuters RIC (Reuters instrument code)	Consistent with Solvency II & PRIIPS approach.	M
11	00030_Financial_Instrument_Name	Name of the financial instrument	Wjijjrfgqj%Ufwynhnufynsl%Uwjkjwjshj%Xmfwjx	Alphanum (max 255)	Consistent with Solvency II & PRIIPS approach.	M

#	Field	Category	Description	Example	Format	Notes	Status
12	00040_Financial_Instrument_Currency		Denomination currency of the financial instrument	GBP	Code ISO 4217		M
13	00045_Financial_Instrument_Performance_Fee		Does this financial instrument have potential performance fees or carried interest?	N	Y / N	Potential Performance Fees or Carried interest should be reported in 07140 and 08080 respectively	M
14	00047_Financial_Instrument_Distribution_Of_Cash		Does this financial instrument distribute Income in the form of cash to the investor?	Y	Y / N		M
15	00050_General_Reference_Date		Date to which the General data within the EMT refer	2023-11-06	YYYY-MM-DD ISO 8601	Date of the data set	M
16	00060_Financial_Instrument_Product_Type		Structured Securities or Structured Funds or UCITS or Non UCITS or UCITS Money Market Funds or Non UCITS Money Market Funds or Exchanged Traded Commodities or Bonds	N	S or SF or U or N or UM or NM or ETC or B	This item allows to fullfil the EMT. It is not designed to give the legal structure. All ETNs, ETCs and Bonds are considered structured securities, for any other part of the file. All ETNs and Bonds are structured securities.	M
17	00065_Maturity_Date		Date of Maturity		YYYY-MM-DD ISO 8601		C
18	00067_May_Be_Terminated_Early		Yes or No		Y / N	Conditional of field 00060 being set to S, SF or B	C
19	00070_Financial_Instrument_Manufacturer_Name		Name of Manufacturer of the financial instrument. The one who is responsible for the financial instrument management/issuance	Middlefield International Limited	Alphanum (max 255)	Consistent with Solvency II & PRIIPS approach. / Not ultimate parent	M
20	00073_Financial_Instrument_Manufacturer_LEI		Legal Entity Identifier, LEI of the Manufacturer of the financial instrument		Alphanum (max 255)	Important for reference when Feed Back Reporting takes place from Distributors as specified in future template EFT.	O
21	00074_Financial_Instrument_Manufacturer_Email		Contact entry point for communication with the Manufacturer to either provide feed back reporting or to retrieve details on how to provide feed back reporting.				O
22	00075_Financial_Instrument_Manufacturer_Product_Governance_Process		A = Product governance procedure pursuant to MiFID II B = Product governance procedure comparable to MiFID II C = Product governance procedure not in accordance with MiFID II D = No information is requested from the issuer		A, B, C or D	If the financial instrument is distributed in Germany, M For ex, Banks are MiFID II regulated -> A For ex, Fund Managers regulated by AIFMD or UCITS Directive -> B For ex, Fund Managers without any comparable regulation to MiFID II -> C For ex, often with Non European based Asset Managers or banks -> D	O
23	00080_Financial_Instrument_Guarantor_Name		Name of Guarantor of the financial instrument.		Alphanum (max 255)		O
24	00085_Financial_Instrument_Type_Notional_Or_Item_Based	Structured Securities	N for Notional based instrument, I for Item based instrument		N / I	Enables interpretation of Cost & Charges data when used together with fields 00096, 08110 and 08120.	C
25	00090_Product_Category_Or_Nature_Germany		Designation of the respective product category or nature for Germany		German code between 1 and 33	If the financial instrument is distributed in Germany, complete with the German categorization code	C
26	00095_Structured_Securities_Product_Category_Or_Nature	Structured Securities	Designation of the respective product category or nature. EUSIPA Map/Codes for structured securities (https://eusipa.org/governance/#EusipaDMap)		EUSIPA code for structured securities.	If the financial instrument is a structured security complete with the EUSIPA code	O
27	00096_Structured_Securities_Quotation	Structured Securities	Defines if the quotation type in the Ex-Ante and Ex-Post section of the EMT file is in UNITS or in PERCENTAGE related to the specific Reference as presented in field 07150,07155, 08110 and 08120 respectively.		U / P	If 00060 is set to S or ETC	C
28	00100_Leveraged_Financial_Instrument_Or_Contingent_Liability_Instrument		Yes or No	N	Y / N	To enable reporting on the depreciation of leveraged financial instruments or contingent liability transactions in accordance with Art. 62 of the MiFID II's Delegated Regulation	I
29	00110_Fund_Share_Class_Without_Retrocession	Funds	Yes or No	Y	Y / N	The shareclass does not pay any inducement in the context of MiFID II.	I
30	00120_Ex_Post_Cost_Calculation_Basis_Italy	Funds	Rolling based (last 12 months) or Fixed base (calendar year)		R / F	It is mandatory in respect of Italian distributors	C

Target Market Section

#	Field		Description	Example	Format	Notes	Status
31	01000_Target_Market_Reference_Date		Date to which the Target Market data within the EMT refer	2023-11-06	YYYY-MM-DD ISO 8601	Date of the data set	M

Investor Type - Hierarchical logic (except for real estate funds in Germany and France)

#	Field		Description	Example	Format	Notes	Status
32	01010_Investor_Type_Retail		Yes or No or Neutral	Y	Y / N / Neutral	Neutral means usage by Retail clients are available under certain conditions.	M
33	01020_Investor_Type_Professional		Yes or No or Professional Per Se or Elective Professional	Y	Y / N / P / E	Y means both Professional Per Se and Elective Professionals are compatible. N means that neither Professional Per Se nor Elective Professionals are compatible. P means that Professional Per Se is compatible but Elective Professionals are not. E means that Elective Professional is compatible but Professionals Per Se are not. P is only used if the distinction Per Se is done in the Prospectus.	M
34	01030_Investor_Type_Eligible_Counterparty		Yes or No	Y	Y / N		M

Knowledge and/Or Experience - Hierarchical logic

#	Field		Description	Example	Format	Notes	Status
35	02010_Basic_Investor		Yes or No or Neutral	Y	Y / N / Neutral	Investors having the following characteristics: • basic knowledge of relevant financial instruments (a basic investor can make an informed investment decision based on the regulated and authorised offering documentation or with the help of basic information provided by point of sale); • no financial industry experience, i.e. suited to a first time investor	M
36	02020_Informed_Investor		Yes or No or Neutral	Y	Y / N / Neutral	Having one, or more, of the following characteristics: • average knowledge of relevant financial products (an informed investor can make an informed investment decision based on the regulated and authorised offering documentation, together with knowledge and understanding of the specific factors/risks highlighted within them only) • some financial industry experience	M
37	02030_Advanced_Investor		Yes or No or Neutral	Y	Y / N / Neutral	Investors having one, or more, of the following characteristics: • good knowledge of relevant financial products and transactions • financial industry experience or accompanied by professional investment advice or included in a discretionary portfolio service	M

#	Field	Category	Description	Ex	Values	Comment	Type
38	02040_Expert_Investor_Germany		Yes or No or Neutral	Y	Y / N / Neutral	Expert knowledge of and / or experience with highly specialised financial products (Regulatory requirement in Germany/ example: CFD/ the updated list will be provided by the DSGV)	O

Client Ability To Bear Losses - Hierarchical logic

#	Field	Category	Description	Ex	Values	Comment	Type
39	03010_Compatible_With_Clients_Who_Can_Not_Bear_Capital_Loss		Investor can bear no loss of capital. Minor losses especially due to costs possible. Yes or No or Neutral	N	Y / N / Neutral	N for negative target: product should not be sold to investors that cannot bear losses	M
40	03020_Compatible_With_Clients_Who_Can_Bear_Limited_Capital_Loss	Structured Securities / Structured Funds / Money Market funds	Investor seeking to preserve capital or can bear losses limited to a level specified by the product. Assessment of loss level is based on investments in the same currency as the instrument denomination and do not take into consideration potential adverse FX market performance. To be filled only for structured securities & funds with an explicit capital protection or for Money Market funds. Yes or No or Neutral		Y / N / Neutral	To be filled only for structured securities & funds with an explicit capital protection or for Money Market funds.	C
41	03030_Limited_Capital_Loss_Level		Loss up to XX%		floating decimal (0.5 = 50%)	To be completed for products that have a clearly stated full or partial capital guarantee, provided on the primary market. This field represents the maximum loss a client could incur when investing in this product – it is not the level of capital protection offered	C
42	03040_Compatible_With_Clients_Who_Do_Not_Need_Capital_Guarantee		No Capital Guarantee nor protection. 100% capital at risk . Yes or No or Neutral	Y	Y / N / Neutral		M
43	03050_Compatible_With_Clients_Who_Can_Bear_Loss_Beyond_Capital		Loss Beyond the Capital. Yes or No or Neutral	N	Y / N / Neutral		M

Risk Tolerance

#	Field	Category	Description	Ex	Values	Comment	Type
44	04010_Risk_Tolerance_PRIIPS_Methodology		SRI	6	1-7 or Empty	If PRIIPS KID is available. German distributors will use only this Risk Tolerance item	C
45	04020_Risk_Tolerance_UCITS_Methodology		SRRI	6	1-7 or Empty	For funds	C
46	04030_Risk_Tolerance_Internal_Methodology_For_Non_PRIIPS_And_Non_UCITS		Low/medium/high		(L or M or H) or Empty	For NON PRIIPS and NON UCITS.	C
47	04040_Risk_Tolerance_For_Non_PRIIPS_And_Non_UCITS_Spain		Spanish SRI		1-6 or Empty	For Spanish local NON PRIIPS and NON UCITS products. Orden ECC/2316/2015, de 4 de noviembre, relativa a las obligaciones de información y clasificación de productos financieros	O
48	04050_Not_For_Investors_With_The_Lowest_Risk_Tolerance_Germany		Yes or Neutral		Y / Neutral	For all products distributed in Germany	O

Client Objectives & Needs

#	Field	Category	Description	Ex	Values	Comment	Type
49	05010_Return_Profile_Client_Looking_For_Preservation		Yes or No or Neutral	Neutral	Y / N / Neutral	Indicates if the product is compatible with clients looking for preserving their capital. Products stipulating a capital preservation objective should be YES. For other products, the answer should be NO or NEUTRAL based on a case-by-case analysis. In Germany fields 05010, 05020 and 05030 are merged and regarded "General Capital Formation". A YES in any of these fields will be regarded a YES in the German combined field.	M
50	05020_Return_Profile_Client_Looking_For_Capital_Growth		Yes or No or Neutral	Y	Y / N / Neutral	Indicates if the product is compatible with clients looking for growing their capital. In Germany fields 05010, 05020 and 05030 are merged and regarded "General Capital Formation". A YES in any of these fields will be regarded a YES in the German combined field.	M
51	05030_Return_Profile_Client_Looking_For_Income		Yes or No or Neutral	Y	Y / N / Neutral	Indicates if the product is compatible with clients looking for Income. In Germany fields 05010, 05020 and 05030 are merged and regarded "General Capital Formation". A YES in any of these fields will be regarded a YES in the German combined field.	M
52	05040_Return_Profile_Hedging	Structured Securities	Yes or No or Neutral		Y / N / Neutral	Only for Structured Securities	C
53	05050_Option_Or_Leveraged_Return_Profile		Yes or No or Neutral		Y / N / Neutral		O
54	05070_Return_Profile_Pension_Scheme_Germany		Yes or No or Neutral		Y / N / Neutral		O
55	05080_Minimum_Recommended_Holding_Period		Minimum recommending holding period: RHP in years or Very Short Term (<1Y)or Short term (>=1Y) or Medium term (>=3Y) or Long term (>5Y) or Hold To Maturity	L	floating decimal or V or S or M or L or H	RHP: Recommended Holding Period	M
56	05105_Does_This_Financial_Instrument_Consider_End_Client_Sustainability_Preferences		Yes or Neutral	Neutral	Y, Neutral	cf https://ec.europa.eu/info/publications/210421-sustainable-finance-communication_en#csrd All ESG data are included within the EET.	M
57	05115_Other_Specific_Investment_Need		No, Islamic banking or Other	N	N / I / O (Alphanum)		I

Distribution strategy

#	Field	Category	Description	Ex	Values	Comment	Type
58	06010_Execution_Only		Retail or Professional or Both or Neither	B	R / P / B / N		I
59	06020_Execution_With_Appropriateness_Test_Or_Non_Advised_Services		Retail or Professional or Both or Neither	B	R / P / B / N		I
60	06030_Investment_Advice		Retail or Professional or Both or Neither	B	R / P / B / N		I
61	06040_Portfolio_Management		Retail or Professional or Both or Neither	B	R / P / B / N		I

Cost & Charges Ex-Ante Section   1. All ongoing cost disclosures must be reported in annualized terms regardless of time of existance2. Negative costs are reported using a minus sign "-"

#	Field	Category	Description	Ex	Values	Comment	Type
62	07020_Gross_One-off_Cost_Financial_Instrument_Maximum_Entry_Cost_Non_Acquired - Funds	Funds	Maximum not acquired to the fund. Expressed as a % of the amount to be invested.		Floating decimal. 1.15% = 0.0115 5% = 0.05	This data is a maximum cost not acquired to the fund.. It is indicative and should be adapted by the distributor receiving the file to take into account the commercial agreement with the asset manager. Conditional to the existence of this feature	C
	07020_Gross_One-off_Cost_Financial_Instrument_Maximum_Entry_Cost_Non_Acquired - Structured Securities	Structured Securities	"Ask Price" - Fair Value (as of Reference Date Ex-Ante)		If 00096 set to U : number of units in product currency If 00096 set to P : % of Reference in field 07150 or 07155 respectively.	This data should only incorporate manufacturer costs (i.e. cost of the financial instrument) and distributor upfront when known by the manufacturer. It should however NOT include distribution fees added on top of the product price by ditributors that is out of control of the manufacturer.	M
	07025_Net_One-off_Cost_Structured_Products_Entry_Cost_Non_Acquired	Structured Funds	Expressed as a % of the amount to be invested. Subscription NAV - Fair Value				O

#	Field	Category	Description	Value	Format	Notes	Flag
63	07025_Net_One-off_Cost_Structured_Products_Entry_Cost_Non_Acquired	Structured Securities	Net_One-off Entry cost = 07020 less upfront distribution fee embedded in the 07020. In practice 07025 will be the portion of the 07020 retained by the manufacturer.			This data is optional and could be used in the event of only one layer of intermediation or in a case where distributor up fronts are paid equally to all distributors. The costs reported in this field is a Net disclosure and therefore the distributor upfront is equal to the difference between 07020 and 07025.	O
64	07030_One-off_Cost_Financial_Instrument_Maximum_Entry_Cost_Fixed_Amount_Italy	Funds	Maximum fixed amount per subscription, not incorporated. Flat fixed fee definied by the manufacturer (Linked to Paying Agent)		Floating decimal. Expressed as maximum amount of currency units. Number must be >=0	Only for the Italian Market. Conditional to the existence of the feature It is subscription related but decided by the manufacturers. In all cases it must be adapted to the specific relationship between the manufacturers and the distribution. This cost is not taken in account in the entry cost item 07020	C
65	07040_One-off_Cost_Financial_Instrument_Maximum_Entry_Cost_Acquired	Funds	Subscription fees acquired to the fund Expressed as a % of the amount to be invested		Floating decimal. 1.15% = 0.0115 5% = 0.05 Number must be >=0	Not included in the entry cost 07020. Conditionnal to the fact that the fund has this feature.	C
66	07050_One-off_Costs_Financial_Instrument_Maximum_Exit_Cost_Non_Acquired	Funds	Maximum not acquired to the fund Expressed as a % of the NAV.		Floating decimal. 1.15% = 0.0115 5% = 0.05 Number must be >=0	Maximum fees not acquired to the funds that could happen at a certain time during the life of the product. Conditionnal to the existence of this feature	C
67	07060_One-off_Costs_Financial_Instrument_Maximum_Exit_Cost_Fixed_Amount_Italy	Funds	Maximum fixed amount per redemption, not incorporated. Flat fee definied by the manufacturer		Floating decimal. Expressed as maximum amount of currency units. Number must be >=0	Only for the Italian Market. Conditionnal to the existence of the feature. It is redemption related but decided by the manufacturers.In all cases it must be adapted to the specific relationship between the manufacturers and the distribution. This cost is not taken in account in the exit cost item 07050	C
68	07070_One-off_Costs_Financial_Instrument_Maximum_Exit_Cost_Acquired	Funds	Maximum Exit fees acquired to the fund Expressed as a % of the NAV		Floating decimal. 1.15% = 0.0115 5% = 0.05 Number must be >=0	Not included in the exit cost item 07050 . Conditionnal to the existence of this feature.	C
69	07080_One-off_Costs_Financial_Instrument_Typical_Exit_Cost - Funds	Funds	Current exit cost linked to the RHP or Time to Maturity or 1Y (V) or 3Y(S) or 5Y (M L) (the value of 05080_Minimum_Recommended_Holding_Period)		Floating decimal. 1.15% = 0.0115 5% = 0.05 Number must be >=0	Normal fees if the client holds the product until maturity or during RHP. Example: a product with a 5 year maturity has exit costs of: - 4% after 1 and 2yrs - 3% after 3yrs - 1% after 4 yrs, - 0 at maturity Then maximum exit cost: 4% Typical cost : 0	O
69	07080_One-off_Costs_Financial_Instrument_Typical_Exit_Cost - Structured Securities	Structured Securities	Exit cost at the RHP		If 00096 set to U : number of units in product currency If 00096 set to P : % of Reference in field 07150 or 07155 respectively.		O
70	07090_One-off_Cost_Financial_Instrument_Exit_Cost_Structured_Products_Prior_RHP - Funds	Structured Funds	Expressed as a % of the amount to be divested. Fair Value - Exit Value (eg Bid Price)		Floating decimal. 1.15% = 0.0115 5% = 0.05 Number must be >=0		C
70	07090_One-off_Cost_Financial_Instrument_Exit_Cost_Structured_Products_Prior_RHP - Structured Securities	Structured Securities	Fixed amount		If 00096 set to U : number of units in product currency If 00096 set to P : % of Reference in field 07150 or 07155 respectively.		M
71	07100_Financial_Instrument_Gross_Ongoing_Costs - Funds	Funds	% of NAV of the Financial Product expressed in annualized terms (rate of cost deduction to be applied)		Floating decimal. 1.15% = 0.0115 5% = 0.05 Number must be >=0	Ongoing costs include management fees and distribution fees, and exclude all transaction costs, incidental costs and performance fees. Costs reported should reflect current running ongoing costs.	M
71	07100_Financial_Instrument_Gross_Ongoing_Costs - Structured Securities	Structured Securities	Expressed as a % of NAV / Notional (or currency amount for Absolute quotation) of the Financial Product in annualized terms related to Reference in 07150 or 07155 respectively.		If 00096 set to U : number of units in product currency If 00096 set to P : % of Reference in field 07150 or 07155 respectively.		M
72	07105_Financial_Instrument_Borrowing_Costs_Ex_Ante_UK	Funds	Financing costs related to borrowing for the purposes of gearing expressed as a % of NAV of the Financial Product expressed in annualized terms (rate of cost deduction to be applied)	0.012132473	Floating decimal. 1.15% = 0.0115 5% = 0.05	Only applicable if the product is borrowing Borrowing costs are included in Ongoing costs.	O
73	07110_Financial_Instrument_Management_Fee - Funds	Funds	% of NAV of the Financial Product expressed in annualized terms (rate of cost deduction to be applied)	0.007	Floating decimal. 1.15% = 0.0115 5% = 0.05 Number must be >=0	These fees are included in total on going costs. These fees are used by distributors to calculate their retrocession fees. Costs reported should reflect current running management costs.	M
73	07110_Financial_Instrument_Management_Fee - Structured Securities	Structured Securities	Expressed as a % of NAV / Notional (or currency amount for Absolute quotation) of the Financial Product in annualized terms related to Reference Value in 07150 or 07155 respectively.		If 00096 set to U : number of units in product currency If 00096 set to P : % of Reference in field 07150 or 07155 respectively.	These fees are included in total on going costs. Only applicable if the product has this feature. These fees are used by distributors to calculate their retrocession fees	O
74	07120_Financial_Instrument_Distribution_Fee	Funds	% of NAV of the Financial Product expressed in annualized terms (rate of cost deduction to be applied)		Floating decimal. 1.15% = 0.0115 5% = 0.05	Only applicable if the product has this feature (legal wording in the documentation). These fees are included in total on going costs and are not included into the management fees. Costs reported should reflect current running Distribution fees.	C
75	07130_Financial_Instrument_Transaction_Costs_Ex_Ante	Funds	% of NAV of the Financial Product expressed in annualized terms (rate of cost deduction to be applied)	0.004224452	Floating decimal. 1.15% = 0.0115 5% = 0.05	0 for structured products. Costs within the products	M
76	07140_Financial_Instrument_Incidental_Costs_Ex_Ante - Funds	Funds	% of NAV of the Financial Product expressed in annualized terms (rate of cost deduction to be applied). Includes Performance Fees and other costs.	0	Floating decimal. 1.15% = 0.0115 5% = 0.05		M
76	07140_Financial_Instrument_Incidental_Costs_Ex_Ante - Structured Securities	Structured Securities	Expressed as a % of NAV / Notional (or currency amount for Absolute quotation) of the Financial Product in annualized terms related to Reference Value in 07150 or 07155 respectively.	0	If 00096 set to U : number of units in product currency If 00096 set to P : % of Reference in field 07150 or 07155 respectively.		M
77	07150_Structured_Securities_Reference_Price_Ex_Ante	Structured Securities	The Reference Price is the instrument price to which a Unit disclosed Ex-Post cost is based and to which a Percentage disclosed cost should be multiplied in order to retrieve the Unit cost. This field is conditional and only used if 07155 is not used.		Number of units in product Currency	Reference Price can be used for both Item based and Notional Based instruments as defined in 00085. This field is used if a Price is the preferred reference to which the disclosed ex-post cost are related. This field can NOT be used simultaneously with 07155.	C
78	07155_Structured_Securities_Notional_Reference_Amount_Ex_Ante	Structured Securities	The Notional Reference Amount is the amount expressed in number of currency units to which a Unit disclosed Ex-Post cost is based and to which a Percentage disclosed cost should be multiplied in order to retrieve the Unit cost. This field is conditional and only used if 07150 is not used.		Number of units in product Currency	Only used if 00085 is set to N (Notional Based) and if a Notional Reference Amount is the preferred cost reference. This field can NOT be used simultaneously with 07150.	C
79	07160_Ex_Ante_Costs_Reference_Date		The Reference Date to which all Ex-Ante Cost disclosures refer (i.e NOT to be misstaken for General Reference Date, field 00050 or Generation Date and Time, field 00005)	2023-11-06	YYYY-MM-DD ISO 8601		M

#	Field	Type	Description	Value	Format	Unit	Comments	M/O/C
80	08010_Gross_One-off_Cost_Structured_Securities_Entry_Cost_Ex_Post	Structured Securities	Fixed Amount			If 00096 set to U : number of units in product currency If 00096 set to P : % of Reference in field 08110 or 08120 respectively.	This data should only incorporate manufacturer costs (i.e. cost of the financial instrument) and distributor upfront when known by the manufacturer. It should however NOT include distribution fees added on top of the product price by distributors that is out of control of the manufacturer.	C
81	08015_Net_One-off_Cost_Structured_Securities_Entry_Cost_Ex_Post	Structured Securities	Net One-off Entry cost = 08010 less upfront distribution fee embedded in the 08010. In practice 08015 will be the portion of the 08010 retained by the manufacturer.				This data is optional and could be used in the event of only one layer of intermediation or in a case where distributor up fronts are paid equally to all distributors. The costs reported in this field is a Net disclosure and therefore the distributor upfront is equal to the difference between 08010 and 08025.	O
82	08020_One-off_Costs_Structured_Securities_Exit_Cost_Ex_Post	Structured Securities	Fixed amount			If 00096 set to U : number of units in product currency If 00096 set to P : % of Reference in field 08110 or 08120 respectively.	This exit cost is supposed to be prior RHP	C
83	08025_One-off_Cost_Financial_Instrument_Entry_Cost_Acquired	Funds	% of NAV	0	Floating decimal. 1.15% = 0.0115 5% = 0.05			C
84	08030_Financial_Instrument_Ongoing_Costs_Ex_Post - Funds	Funds	% of NAV of the Financial Product expressed in annualized terms (rate of cost deduction to be applied)		Floating decimal. 1.15% = 0.0115 5% = 0.05			M
	08030_Financial_Instrument_Ongoing_Costs_Ex_Post - Structured Securities	Structured Securities	Expressed as a % of NAV / Notional (or currency amount for Absolute quotation) of the Financial Product in annualized terms related to Reference Value in 08110			If 00096 set to U : number of units in product currency If 00096 set to P : % of Reference in field 08110 or 08120 respectively.		M
85	08040_Structured_Securities_Ongoing_Costs_Ex_Post_Accumulated	Structured Securities	Sum of each daily Recurring Product Costs		Presented in absolute figures in product currency accumulated during the year up until the End of Reference Date Period Ex Post in field 08100		Mandatory field for Distribution in Germany, Switzerland and Austria	O
86	08045_Financial_Instrument_Borrowing_Costs_Ex_Post_UK	Funds	Financing costs related to borrowing for the purposes of gearing expressed as a % of NAV of the Financial Product expressed in annualized terms (rate of cost deduction to be applied)	0.012132473	Floating decimal. 1.15% = 0.0115 5% = 0.05		Only applicable if the product is borrowing Borrowing costs are included in Ongoing costs.	O
87	08050_Financial_Instrument_Management_Fee_Ex_Post - Funds	Funds	% of NAV of the Financial Product expressed in annualized terms (rate of cost deduction to be applied)	0.007	Floating decimal. 1.15% = 0.0115 5% = 0.05		These fees are included in total on going costs. These fees are used by distributors to calculate their retrocession fees	M
	08050_Financial_Instrument_Management_Fee_Ex_Post - Structured Securities	Structured Securities	Expressed as a % of NAV / Notional (or currency amount for Absolute quotation) of the Financial Product in annualized terms related to Reference Value in 08110		If 00096 set to U : number of units in product currency If 00096 set to P : % of Reference in field 08110 or 08120 respectively.		These fees are included in total on going costs. Only applicable if the product has this feature. These fees are used by distributors to calculate their retrocession fees	O
88	08060_Financial_Instrument_Distribution_Fee_Ex_Post	Funds	% of NAV of the Financial Product expressed in annualized terms (rate of cost deduction to be applied)		Floating decimal. 1.15% = 0.0115 5% = 0.05		Only applicable if the product has this feature (legal wording in the documentation). These fees are included in total on going costs and are not included into the management fees.	C
89	08070_Financial_Instrument_Transaction_Costs_Ex_Post	Funds	% of NAV of the Financial Product expressed in annualized terms (rate of cost deduction to be applied)	0.004224452	Floating decimal. 1.15% = 0.0115 5% = 0.05		Costs within the products	M
90	08080_Financial_Instrument_Incidental_Costs_Ex_Post - Funds	Funds	% of NAV of the Financial Product expressed in annualized terms (rate of cost deduction to be applied)	0	Floating decimal. 1.15% = 0.0115 5% = 0.05			M
	08080_Financial_Instrument_Incidental_Costs_Ex_Post - Structured Securities	Structured Securities	Expressed as a % of NAV / Notional (or currency amount for Absolute quotation) of the Financial Product in annualized terms related to Reference Value in 08110	0		If 00096 set to U : number of units in product currency If 00096 set to P : % of Reference in field 08110 or 08120 respectively.		M
91	08090_Beginning_Of_Reference_Period		The Date that specifies the start of the Reference Period. Defined as "From and including". All ex-post cost disclosures apart from 08040 refers to all dates in such period.	2018-11-06	YYYY-MM-DD ISO 8601		The Reference Period as defined by 08090 and 08100 indicate the period of calendar days to which disclosed ex-post costs should be applied.	M
92	08100_End_Of_Reference_Period		The Date that specifies the end of the Reference Period. Defined as "To and including". All ex-post cost disclosures apart from 08040 refers to all dates in such period. For the avoidance of doubt, this date can be specified as equal to the date specified in 08090.	2023-11-06	YYYY-MM-DD ISO 8601		The Reference Period as defined by 08090 and 08100 indicate the period of calendar days to which disclosed ex-post costs should be applied.	M
93	08110_Structured_Securities_Reference_Price_Ex_Post	Structured Securities	The Reference Price is the instrument price to which a Unit disclosed Ex-Post cost is based and to which a Percentage disclosed cost should be multiplied in order to retrieve the Unit cost. This field is conditional and only used if 08120 is not used.			Number of units in product Currency	Reference Price can be used for both Item based and Notional Based instruments as defined in 00085. This field is used if a Price is the preferred reference to which the diclosed ex-post cost are related. This field can NOT be used simultaneously with 08120.	C
94	08120_Structured_Securities_Notional_Reference_Amount	Structured Securities	The Notional Reference Amount is the amount expressed in number of currency units to which a Unit disclosed Ex-Post cost is based and to which a Percentage disclosed cost should be multiplied in order to retrieve the Unit cost. This field is conditional and only used if 08110 is not used.			Number of units in product Currency	Only used if 00085 is set to N (Notional Based) and if a Notional Reference Amount is the preferred cost reference. This field can NOT be used simultaneously with 08110.	C