

**Middlefield Healthcare Dividend ETF**  
Proxy Voting Record  
July 1, 2023 to June 30, 2024

Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	For/Against Management?
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Robert J. Alpern	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Claire Babineaux-Fontenot	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Sally E. Blount	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Robert B. Ford	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Paola Gonzalez	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Michelle A. Kumbier	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Darren W. McDew	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Nancy McKinstry	Mgmt	Voted	Against	Against
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Michael G. O'Grady	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Michael F. Roman	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Daniel J. Starks	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Elect John G. Stratton	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Ratification of Auditor	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Elect Roxanne S. Austin	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Elect Richard A. Gonzalez	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Elect Susan E. Quaggin	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Elect Rebecca B. Roberts	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Elect Glenn F. Tilton	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Ratification of Auditor	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Elimination of Supermajority Requirement	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Shareholder Proposal Regarding Simple Majority Vote	ShrHoldr	Voted	Against	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	Against	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Shareholder Proposal Regarding Extended Patent Exclusivities and Application for Secondary and Tertiary Patents	ShrHoldr	Voted	Against	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Wanda M. Austin	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Robert A. Bradway	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Michael V. Drake	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Brian J. Druker	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Robert A. Eckert	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Greg C. Garland	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Charles M. Holley, Jr.	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect S. Omar Ishrak	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Tyler Jacks	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Mary E. Klotman	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Ellen J. Kullman	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Amy E. Miles	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Amendment to the 2009 Equity Incentive Plan	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Ratification of Auditor	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Accounts and Reports	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Allocation of Profits/Dividends	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Appointment of Auditor	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Authority to Set Auditor's Fees	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Michel Demare	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Pascal Soriot	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Aradhana Sarin	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Philip Broadley	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Euan Ashley	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Deborah DiSanzo	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Diana Layfield	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Anna Manz	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Sherilyn S. McCoy	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Tony Mok	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Nazneen Rahman	Mgmt	Voted	For	For

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Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Andreas Rummelt	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Marcus Wallenberg	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Remuneration Report	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Remuneration Policy	Mgmt	Voted	Against	Against
Astrazeneca plc	AZN	G0593M107	2024-04-11	Amendment to Performance Share Plan	Mgmt	Voted	Against	Against
Astrazeneca plc	AZN	G0593M107	2024-04-11	Authorisation of Political Donations	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Authority to Issue Shares w/ Preemptive Rights	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Authority to Issue Shares w/o Preemptive Rights	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Authority to Repurchase Shares	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect William M. Brown	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect Catherine M. Burzik	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect Carrie L. Byington	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect R. Andrew Eckert	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect Claire M. Fraser	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect Jeffrey W. Henderson	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect Christopher Jones	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect Thomas E. Polen	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect Timothy M. Ring	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect Bertram L. Scott	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect Joanne Waldstreicher	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Ratification of Auditor	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Elect Charles J. Dockendorff	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Elect Yoshiaki Fujimori	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Elect Edward J. Ludwig	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Elect Michael F. Mahoney	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Elect Jessica L. Mega	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Elect Susan E Morano	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Elect John E. Sununu	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Elect David S. Wichmann	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Elect Ellen M. Zane	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Amendment to Advance Notice Provisions	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Ratification of Auditor	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Elect Peter J. Arduini	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Elect Deepak L. Bhatt	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Elect Christopher Boerner	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Elect Julia A. Haller	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Elect Manuel Hidalgo Medina	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Elect Paula A. Price	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Elect Derica W. Rice	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Elect Theodore R. Samuels, II	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Elect Karen H. Vousden	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Elect Phyllis R. Yale	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Ratification of Auditor	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Amendment to Articles Regarding Officer Exculpation	Mgmt	Voted	Against	Against
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Shareholder Proposal Regarding Independent Chair	ShrHoldr	Voted	For	Against
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Shareholder Proposal Regarding Retention of Shares Until Normal Retirement Age	ShrHoldr	Voted	For	Against
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect V. Ann Davis	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect James Scarlett	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect Huw Thomas	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect Valerie Pisano	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect Sharon Sallows	Mgmt	Voted	For	For

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Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect Gary Whitelaw	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect W. Brent Binions	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect V. Ann Davis	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect Valerie Pisano	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect Sharon Sallows	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect James Scarlett	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect Huw Thomas	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect Vlad Volodarski	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect Gary Whitelaw	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Deferred Unit Plan Renewal	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Unitholder Rights Plan Renewal	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Shareholder Proposal Regarding Report on Direct Care and Staffing Ratios	ShrHoldr	Voted	Against	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Rainer M. Blair	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Feroz Dewan	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Linda P. Hefner Filler	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Teri L. List	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Jessica L. Mega	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Mitchell P. Rales	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Steven M. Rales	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Pardis C. Sabeti	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect A. Shane Sanders	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect John T. Schwieters	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Alan G. Spoon	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Raymond C. Stevens	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Elias A. Zerhouni	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Ratification of Auditor	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Shareholder Proposal Regarding Right to Call Special Meeting	ShrHoldr	Voted	For	Against
Danaher Corporation	DHR	235851102	2024-05-07	Shareholder Proposal Regarding Diversity and Inclusion Report	ShrHoldr	Voted	Against	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Elect Kevin Sayer	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Elect Steven R. Altman	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Elect Nicholas Augustinos	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Elect Richard A. Collins	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Elect Karen M. Dahut	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Elect Rimma Driscoll	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Elect Mark G. Foletta	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Elect Bridgette P. Heller	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Elect Kyle Malady	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Elect Eric J. Topol	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Ratification of Auditor	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Shareholder Proposal Regarding Median Gender and Racial Pay Equity Report	ShrHoldr	Voted	Against	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Voted	For	Against
DRI Healthcare Trust	DHT.UN	23344H109	2024-05-07	Elect Gary M. Collins	Mgmt	Voted	For	For
DRI Healthcare Trust	DHT.UN	23344H109	2024-05-07	Elect Ali Hedayat	Mgmt	Voted	For	For
DRI Healthcare Trust	DHT.UN	23344H109	2024-05-07	Elect Behzad Khosrowshahi	Mgmt	Voted	For	For
DRI Healthcare Trust	DHT.UN	23344H109	2024-05-07	Elect Kevin Layden	Mgmt	Voted	For	For
DRI Healthcare Trust	DHT.UN	23344H109	2024-05-07	Elect Paul Mussenden	Mgmt	Voted	For	For
DRI Healthcare Trust	DHT.UN	23344H109	2024-05-07	Elect Poonam Puri	Mgmt	Voted	For	For
DRI Healthcare Trust	DHT.UN	23344H109	2024-05-07	Elect Sandra Stuart	Mgmt	Voted	For	For
DRI Healthcare Trust	DHT.UN	23344H109	2024-05-07	Elect Tamara Vrooman	Mgmt	Voted	For	For
DRI Healthcare Trust	DHT.UN	23344H109	2024-05-07	Appointment of Auditor	Mgmt	Voted	For	For
Elevance Health, Inc.	ELV	036752103	2024-05-15	Elect Lewis Hay III	Mgmt	Voted	For	For
Elevance Health, Inc.	ELV	036752103	2024-05-15	Elect Antonio F. Neri	Mgmt	Voted	For	For

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Elevance Health, Inc.	ELV	036752103	2024-05-15	Elect Ramiro G. Peru	Mgmt	Voted	For	For
Elevance Health, Inc.	ELV	036752103	2024-05-15	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Elevance Health, Inc.	ELV	036752103	2024-05-15	Ratification of Auditor	Mgmt	Voted	For	For
Elevance Health, Inc.	ELV	036752103	2024-05-15	Shareholder Proposal Regarding Third-Party Political Expenditures Reporting	ShrHoldr	Voted	Against	For
Eli Lilly and Company	LLY	532457108	2024-05-06	Elect Katherine Baicker	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2024-05-06	Elect J. Erik Fyrwald	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2024-05-06	Elect Jamere Jackson	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2024-05-06	Elect Gabrielle Sulzberger	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2024-05-06	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2024-05-06	Ratification of Auditor	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2024-05-06	Repeat of Classified Board	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2024-05-06	Elimination of Supermajority Requirement	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2024-05-06	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	For	Against
Eli Lilly and Company	LLY	532457108	2024-05-06	Shareholder Proposal Regarding Diversity and Inclusion Report	ShrHoldr	Voted	Against	For
Eli Lilly and Company	LLY	532457108	2024-05-06	Shareholder Proposal Regarding Extended Patent Exclusivities and Application for Secondary and Tertiary Patents	ShrHoldr	Voted	Against	For
Eli Lilly and Company	LLY	532457108	2024-05-06	Shareholder Proposal Regarding Human Rights Policy	ShrHoldr	Voted	Against	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Elect Jacqueline K. Barton	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Elect Jeffrey A. Bluestone	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Elect Sandra J. Horning	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Elect Kelly A. Kramer	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Elect Ted W. Love	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Elect Harish Manwani	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Elect Daniel P. O'Day	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Elect Javier J. Rodriguez	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Elect Anthony Welters	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Ratification of Auditor	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Amendment to Certificate of Incorporation Regarding Officer Exculpation	Mgmt	Voted	Against	Against
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Shareholder Proposal Regarding Non-Management Employee Representation on the Board	ShrHoldr	Voted	Against	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Shareholder Proposal Regarding Report on Company Response to State Policies Regulating Abortion	ShrHoldr	Voted	Against	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Shareholder Proposal Regarding Retention of Shares Until Normal Retirement Age	ShrHoldr	Voted	For	Against
GSK Plc	GSK	G3910J179	2024-05-08	Accounts and Reports	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Remuneration Report	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Wendy Becker	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Jeannie Lee	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Sir Jonathan R. Symonds	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Emma N. Walmsley	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Julie Brown	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Elizabeth McKee Anderson	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Charles A. Bancroft	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Hal V. Barron	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Anne Beal	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Harry Dietz	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Jesse Goodman	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Vishal Sikka	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Appointment of Auditor	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Authority to Set Auditor's Fees	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Authorisation of Political Donations	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Authority to Issue Shares w/ Preemptive Rights	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Authority to Issue Shares w/o Preemptive Rights	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Authority to Repurchase Shares	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Approve Exemption from Statement of the Senior Statutory Auditor's name in published Auditors' Reports	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Non-Voting Meeting Note	N/A	Voted	N/A	N/A
Intellia Therapeutics, Inc.	NTLA	45826J105	2024-06-12	Elect Fred E. Cohen	Mgmt	Voted	For	For

**Middlefield Healthcare Dividend ETF**  
Proxy Voting Record  
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Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	For/Against Management?
Intellia Therapeutics, Inc.	NTLA	45826J105	2024-06-12	Elect Frank Verwiel	Mgmt	Voted	For	For
Intellia Therapeutics, Inc.	NTLA	45826J105	2024-06-12	Ratification of Auditor	Mgmt	Voted	For	For
Intellia Therapeutics, Inc.	NTLA	45826J105	2024-06-12	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Intellia Therapeutics, Inc.	NTLA	45826J105	2024-06-12	Amendment Regarding Officer Exculpation	Mgmt	Voted	Against	Against
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Craig H. Barratt	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Joseph C. Beery	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Lewis Chew	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Gary S. Guthart	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Amal M. Johnson	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Sreelakshmi Kolli	Mgmt	Voted	Against	Against
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Amy L. Ladd	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Keith R. Leonard, Jr.	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Jami Dover Nachtsheim	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Monica P. Reed	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Mark J. Rubash	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Ratification of Auditor	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Amendment to the 2010 Incentive Award Plan	Mgmt	Voted	Against	Against
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Amendment to the 2000 Employee Stock Purchase Plan	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Shareholder Proposal Regarding Median Gender and Racial Pay Equity Report	ShrHoldr	Voted	Against	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Darius Adamczyk	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Mary C. Beckerle	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect D. Scott Davis	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Jennifer A. Doudna	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Joaquin Duato	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Marilyn A. Hewson	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Paula A. Johnson	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Hubert Joly	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Mark B. McClellan	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Anne M. Mulcahy	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Mark A. Weinberger	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Nadja Y. West	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Eugene A. Woods	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Ratification of Auditor	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Shareholder Proposal Regarding Report on Compensation and Health Benefit Gaps	ShrHoldr	Voted	Against	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Shareholder Proposal Regarding Patent Exclusivities	ShrHoldr	Voted	Abstain	Against
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect Richard H. Carmona	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect Dominic J. Caruso	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect W. Roy Dunbar	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect James H. Hinton	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect Donald R. Knauss	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect Bradley E. Lerman	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect Linda P. Mantia	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect Maria Martinez	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect Susan R. Salka	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect Brian S. Tyler	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect Kathleen Wilson-Thompson	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Ratification of Auditor	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Shareholder Proposal Regarding Severance Approval Policy	ShrHoldr	Voted	Against	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Craig Arnold	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Scott C. Donnelly	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Lidia L. Fonseca	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Andrea J. Goldsmith	Mgmt	Voted	For	For

**Middlefield Healthcare Dividend ETF**  
Proxy Voting Record  
July 1, 2023 to June 30, 2024

Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	For/Against Management?
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Randall J. Hogan, III	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Gregory P. Lewis	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Kevin E. Lofton	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Geoffrey Straub Martha	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Elizabeth G. Nabel	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Denise M. O'Leary	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Kendall J. Powell	Mgmt	Voted	Against	Against
Medtronic Plc	MDT	G5960L103	2023-10-19	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Approval of the 2024 Employee Stock Purchase Plan	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Authority to Issue Shares w/ Preemptive Rights	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Authority to Issue Shares w/o Preemptive Rights	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Authority to Repurchase Shares	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Douglas M. Baker, Jr.	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Mary Ellen Coe	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Pamela J. Craig	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Robert M. Davis	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Thomas H. Glocer	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Risa Lavizzo-Mourey	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Stephen L. Mayo	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Paul B. Rothman	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Patricia F. Russo	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Christine E. Seidman	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Inge G. Thulin	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Kathy J. Warden	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Ratification of Auditor	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Voted	Against	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Shareholder Proposal Regarding Government Censorship Transparency Report	ShrHoldr	Voted	Against	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Shareholder Proposal Regarding Report on Civil Rights and Non-Discrimination	ShrHoldr	Voted	Against	For
Natera, Inc.	NTRA	632307104	2024-06-12	Elect Roelof F. Botha	Mgmt	Voted	For	For
Natera, Inc.	NTRA	632307104	2024-06-12	Elect Steve Chapman	Mgmt	Voted	For	For
Natera, Inc.	NTRA	632307104	2024-06-12	Elect Matthew Rabinowitz	Mgmt	Voted	For	For
Natera, Inc.	NTRA	632307104	2024-06-12	Ratification of Auditor	Mgmt	Voted	For	For
Natera, Inc.	NTRA	632307104	2024-06-12	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Natera, Inc.	NTRA	632307104	2024-06-12	Amendment to the 2015 Equity Incentive Plan	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Accounts and Reports	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Allocation of Profits/Dividends	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Remuneration Report	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Directors' Fees	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Indemnification of the Board of Directors	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Indemnification of the Executive Management	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Amendments to Articles	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Remuneration Policy	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Election of Helge Lund as Chair	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Election of Henrik Poulsen as Vice Chair	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Elect Laurence Debroux	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Elect Andreas Fibig	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Elect Sylvie Gregoire	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Elect Kasim Kutay	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Elect Christina Choi Lai Law	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Elect Martin Mackay	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Appointment of Auditor	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Cancellation of Shares	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Authority to Repurchase Shares	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	Voted	For	For

**Middlefield Healthcare Dividend ETF**  
Proxy Voting Record  
July 1, 2023 to June 30, 2024

Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	For/Against Management?
Pfizer Inc.	PFE	717081103	2024-04-25	Elect Ronald E. Blaylock	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2024-04-25	Elect Albert Bourla	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2024-04-25	Elect Susan Desmond-Hellmann	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2024-04-25	Elect Joseph J. Echevarria	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2024-04-25	Elect Scott Gottlieb	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2024-04-25	Elect Helen H. Hobbs	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2024-04-25	Elect Susan Hockfield	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2024-04-25	Elect Dan R. Littman	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2024-04-25	Elect Shantanu Narayen	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2024-04-25	Elect Suzanne Nora Johnson	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2024-04-25	Elect James Quincey	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2024-04-25	Elect James C. Smith	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2024-04-25	Ratification of Auditor	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2024-04-25	Amendment to the 2019 Stock Plan	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2024-04-25	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Pfizer Inc.	PFE	717081103	2024-04-25	Shareholder Proposal Regarding Independent Chair	ShrHoldr	Voted	For	Against
Pfizer Inc.	PFE	717081103	2024-04-25	Shareholder Proposal Regarding Report on Political Expenditures and Values Congruency	ShrHoldr	Voted	Against	For
Pfizer Inc.	PFE	717081103	2024-04-25	Shareholder Proposal Regarding Director Resignation Policy	ShrHoldr	Voted	Abstain	Against
Pfizer Inc.	PFE	717081103	2024-04-25	Shareholder Proposal Regarding Corporate Contributions Disclosure	ShrHoldr	Voted	Against	For
Sanofi	SAN	F5548N101	2024-04-30	Accounts and Reports; Non Tax-Deductible Expenses	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Consolidated Accounts and Reports	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Allocation of Profits/Dividends	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Elect Rachel Duan	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Elect Lise Kingo	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Elect Clotilde Delbos	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Elect Anne-Francoise Nesmes	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Elect John Sundry	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	2023 Remuneration Report	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	2023 Remuneration of Serge Weinberg, Chair (until May 25, 2023)	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	2023 Remuneration of Frederic Oudea, Chair (from May 25, 2023)	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	2023 Remuneration of Paul Hudson, CEO	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	2024 Remuneration Policy (Board of Directors)	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	2024 Remuneration Policy (Chair)	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	2023 Remuneration Policy (CEO)	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Appointment of Auditor (Mazars)	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Appointment of Auditor for Sustainability Reporting (Mazars)	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Appointment of Auditor for Sustainability Reporting (Pricewaterhouse Coopers)	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Authority to Repurchase and Reissue Shares	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Authority to Issue Performance Shares	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Employee Stock Purchase Plan	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Stock Purchase Plan for Overseas Employees	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Authorisation of Legal Formalities	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Elect Mary K. Brainerd	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Elect Giovanni Caforio	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Elect Allan C. Golston	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Elect Kevin A. Lobo	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Elect Sherilyn S. McCoy	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Elect Rachel Ruggeri	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Elect Andrew K. Silvernail	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Elect Lisa M. Skeete Tatum	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Elect Ronda E. Stryker	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Elect Rajeev Suri	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Ratification of Auditor	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Voted	For	Against
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect Marc N. Casper	Mgmt	Voted	For	For

**Middlefield Healthcare Dividend ETF**  
Proxy Voting Record  
July 1, 2023 to June 30, 2024

Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	For/Against Management?
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect Nelson J. Chai	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect Ruby R. Chandy	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect C. Martin Harris	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect Tyler Jacks	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect Jennifer M. Johnson	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect R. Alexandra Keith	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect James C. Mullen	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect Debora L. Spar	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect Scott M. Sperling	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect Dion J. Weisler	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Ratification of Auditor	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Shareholder Proposal Regarding Simple Majority Vote	ShrHoldr	Voted	Against	For
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Elect Charles Baker	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Elect Timothy P. Flynn	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Elect Paul R. Garcia	Mgmt	Voted	Against	Against
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Elect Kristen Gil	Mgmt	Voted	Against	Against
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Elect Stephen J. Hemsley	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Elect Michele J. Hooper	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Elect F. William McNabb, III	Mgmt	Voted	Against	Against
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Elect Valerie C. Montgomery Rice	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Elect John H. Noseworthy	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Elect Andrew Witty	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Ratification of Auditor	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Shareholder Proposal Regarding Report on Political Expenditures and Values Congruency	ShrHoldr	Voted	Against	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Melody C. Barnes	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Theodore R. Bigman	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Debra A. Cafaro	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Michael J. Embler	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Matthew J. Lustig	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Roxanne M. Martino	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Marguerite M. Nader	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Sean Nolan	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Walter C. Rakowich	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Joe V. Rodriguez	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Sumit Roy	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Maurice Smith	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Ratification of Auditor	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Sangeeta N. Bhatia	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Lloyd A. Carney	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Alan M. Garber	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Reshma Kewalramani	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Michel Lagarde	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Jeffrey M. Leiden	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Diana L. McKenzie	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Bruce I. Sachs	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Jennifer Schneider	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Nancy A. Thornberry	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Suketu Upadhyay	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Ratification of Auditor	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Shareholder Proposal Regarding Right to Call Special Meeting	ShrHoldr	Voted	For	Against
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Shareholder Proposal Regarding Median Gender and Racial Pay Equity Report	ShrHoldr	Voted	Against	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Paul M. Bisaro	Mgmt	Voted	For	For



**Middlefield Healthcare Dividend ETF**

Proxy Voting Record

July 1, 2023 to June 30, 2024

Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	For/Against Management?
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Vanessa Broadhurst	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Frank A. D'Amelio	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Gavin D. K. Hattersley	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Sanjay Khosla	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Antoinette R. Leatherberry	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Michael B. McCallister	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Gregory Norden	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Louise M. Parent	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Kristin C. Peck	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Willie M. Reed	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Robert W. Scully	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Ratification of Auditor	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Amendment Regarding Officer Exculpation	Mgmt	Voted	Against	Against
Zoetis Inc.	ZTS	98978V103	2024-05-22	Shareholder Proposal Regarding Mandatory Director Resignation Policy	ShrHoldr	Voted	For	Against