

Middlefield Healthcare Dividend Fund

Proxy Voting Record

July 1, 2023 to June 30, 2024

Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	For/Against Management?
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Robert J. Alpern	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Claire Babineaux-Fontenot	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Sally E. Blount	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Robert B. Ford	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Paola Gonzalez	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Michelle A. Kumbier	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Darren W. McDew	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Nancy McKinstry	Mgmt	Voted	Against	Against
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Michael G. O'Grady	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Michael F. Roman	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Elect Daniel J. Starks	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Elect John G. Stratton	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Ratification of Auditor	Mgmt	Voted	For	For
Abbott Laboratories	ABT	002824100	2024-04-26	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Elect Roxanne S. Austin	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Elect Richard A. Gonzalez	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Elect Susan E. Quaggin	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Elect Rebecca B. Roberts	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Elect Glenn F. Tilton	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Ratification of Auditor	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Elimination of Supermajority Requirement	Mgmt	Voted	For	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Shareholder Proposal Regarding Simple Majority Vote	ShrHoldr	Voted	Against	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	Against	For
AbbVie Inc.	ABBV	00287Y109	2024-05-03	Shareholder Proposal Regarding Extended Patent Exclusivities and Application for Secondary and Tertiary Patents	ShrHoldr	Voted	Against	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Wanda M. Austin	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Robert A. Bradway	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Michael V. Drake	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Brian J. Druker	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Robert A. Eckert	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Greg C. Garland	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Charles M. Holley, Jr.	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect S. Omar Ishrak	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Tyler Jacks	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Mary E. Klotman	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Ellen J. Kullman	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Elect Amy E. Miles	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Amendment to the 2009 Equity Incentive Plan	Mgmt	Voted	For	For
AMGEN Inc.	AMGN	031162100	2024-05-31	Ratification of Auditor	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Accounts and Reports	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Allocation of Profits/Dividends	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Appointment of Auditor	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Authority to Set Auditor's Fees	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Michel Demare	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Pascal Soriot	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Aradhana Sarin	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Philip Broadley	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Euan Ashley	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Deborah DiSanzo	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Diana Layfield	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Anna Manz	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Sherilyn S. McCoy	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Tony Mok	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Nazneen Rahman	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Andreas Rummelt	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Elect Marcus Wallenberg	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Remuneration Report	Mgmt	Voted	For	For

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Astrazeneca plc	AZN	G0593M107	2024-04-11	Remuneration Policy	Mgmt	Voted	Against	Against
Astrazeneca plc	AZN	G0593M107	2024-04-11	Amendment to Performance Share Plan	Mgmt	Voted	Against	Against
Astrazeneca plc	AZN	G0593M107	2024-04-11	Authorisation of Political Donations	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Authority to Issue Shares w/ Preemptive Rights	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Authority to Issue Shares w/o Preemptive Rights	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Authority to Repurchase Shares	Mgmt	Voted	For	For
Astrazeneca plc	AZN	G0593M107	2024-04-11	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect William M. Brown	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect Catherine M. Burzik	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect Carrie L. Byington	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect R. Andrew Eckert	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect Claire M. Fraser	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect Jeffrey W. Henderson	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect Christopher Jones	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect Thomas E. Polen	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect Timothy M. Ring	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect Bertram L. Scott	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Elect Joanne Waldstreicher	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Ratification of Auditor	Mgmt	Voted	For	For
Becton, Dickinson and Company	BDX	075887109	2024-01-23	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Elect Charles J. Dockendorff	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Elect Yoshiaki Fujimori	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Elect Edward J. Ludwig	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Elect Michael F. Mahoney	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Elect Jessica L. Mega	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Elect Susan E. Morano	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Elect John E. Sununu	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Elect David S. Wichmann	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Elect Ellen M. Zane	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Amendment to Advance Notice Provisions	Mgmt	Voted	For	For
Boston Scientific Corporation	BSX	101137107	2024-05-02	Ratification of Auditor	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Elect Peter J. Arduini	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Elect Deepak L. Bhatt	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Elect Christopher Boerner	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Elect Julia A. Haller	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Elect Manuel Hidalgo Medina	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Elect Paula A. Price	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Elect Derica W. Rice	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Elect Theodore R. Samuels, II	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Elect Karen H. Vousden	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Elect Phyllis R. Yale	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Ratification of Auditor	Mgmt	Voted	For	For
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Amendment to Articles Regarding Officer Exculpation	Mgmt	Voted	Against	Against
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Shareholder Proposal Regarding Independent Chair	ShrHoldr	Voted	For	Against
Bristol-Myers Squibb Company	BMJ	110122108	2024-05-07	Shareholder Proposal Regarding Retention of Shares Until Normal Retirement Age	ShrHoldr	Voted	For	Against
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect V. Ann Davis	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect James Scarlett	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect Huw Thomas	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect Valerie Pisano	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect Sharon Sallows	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect Gary Whitelaw	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect W. Brent Binions	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect V. Ann Davis	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect Valerie Pisano	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect Sharon Sallows	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect James Scarlett	Mgmt	Voted	For	For

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Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	For/Against Management?
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect Huw Thomas	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect Vlad Volodarski	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Elect Gary Whitelaw	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Deferred Unit Plan Renewal	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Unitholder Rights Plan Renewal	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Chartwell Retirement Residences	CSH.UN	16141A103	2024-06-04	Shareholder Proposal Regarding Report on Direct Care and Staffing Ratios	ShrHoldr	Voted	Against	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Rainer M. Blair	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Feroz Dewan	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Linda P. Hefner Filler	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Teri L. List	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Jessica L. Mega	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Mitchell P. Rales	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Steven M. Rales	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Pardis C. Sabeti	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect A. Shane Sanders	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect John T. Schwieters	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Alan G. Spoon	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Raymond C. Stevens	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Elect Elias A. Zerhouni	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Ratification of Auditor	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Danaher Corporation	DHR	235851102	2024-05-07	Shareholder Proposal Regarding Right to Call Special Meeting	ShrHoldr	Voted	For	Against
Danaher Corporation	DHR	235851102	2024-05-07	Shareholder Proposal Regarding Diversity and Inclusion Report	ShrHoldr	Voted	Against	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Elect Kevin Sayer	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Elect Steven R. Altman	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Elect Nicholas Augustinos	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Elect Richard A. Collins	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Elect Karen M. Dahut	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Elect Rimma Driscoll	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Elect Mark G. Foletta	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Elect Bridgette P. Heller	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Elect Kyle Malady	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Elect Eric J. Topol	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Ratification of Auditor	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Shareholder Proposal Regarding Median Gender and Racial Pay Equity Report	ShrHoldr	Voted	Against	For
DexCom, Inc.	DXCM	252131107	2024-05-22	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Voted	For	Against
DRI Healthcare Trust	DHT.UN	23344H109	2024-05-07	Elect Gary M. Collins	Mgmt	Voted	For	For
DRI Healthcare Trust	DHT.UN	23344H109	2024-05-07	Elect Ali Hedayat	Mgmt	Voted	For	For
DRI Healthcare Trust	DHT.UN	23344H109	2024-05-07	Elect Behzad Khosrowshahi	Mgmt	Voted	For	For
DRI Healthcare Trust	DHT.UN	23344H109	2024-05-07	Elect Kevin Layden	Mgmt	Voted	For	For
DRI Healthcare Trust	DHT.UN	23344H109	2024-05-07	Elect Paul Mussenden	Mgmt	Voted	For	For
DRI Healthcare Trust	DHT.UN	23344H109	2024-05-07	Elect Poonam Puri	Mgmt	Voted	For	For
DRI Healthcare Trust	DHT.UN	23344H109	2024-05-07	Elect Sandra Stuart	Mgmt	Voted	For	For
DRI Healthcare Trust	DHT.UN	23344H109	2024-05-07	Elect Tamara Vrooman	Mgmt	Voted	For	For
DRI Healthcare Trust	DHT.UN	23344H109	2024-05-07	Appointment of Auditor	Mgmt	Voted	For	For
Elevance Health, Inc.	ELV	036752103	2024-05-15	Elect Lewis Hay III	Mgmt	Voted	For	For
Elevance Health, Inc.	ELV	036752103	2024-05-15	Elect Antonio F. Neri	Mgmt	Voted	For	For
Elevance Health, Inc.	ELV	036752103	2024-05-15	Elect Ramiro G. Peru	Mgmt	Voted	For	For
Elevance Health, Inc.	ELV	036752103	2024-05-15	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Elevance Health, Inc.	ELV	036752103	2024-05-15	Ratification of Auditor	Mgmt	Voted	For	For
Elevance Health, Inc.	ELV	036752103	2024-05-15	Shareholder Proposal Regarding Third-Party Political Expenditures Reporting	ShrHoldr	Voted	Against	For
Eli Lilly and Company	LLY	532457108	2024-05-06	Elect Katherine Baicker	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2024-05-06	Elect J. Erik Fyrwald	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2024-05-06	Elect Jamere Jackson	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2024-05-06	Elect Gabrielle Sultzberger	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2024-05-06	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For

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Eli Lilly and Company	LLY	532457108	2024-05-06	Ratification of Auditor	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2024-05-06	Repeal of Classified Board	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2024-05-06	Elimination of Supermajority Requirement	Mgmt	Voted	For	For
Eli Lilly and Company	LLY	532457108	2024-05-06	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Voted	For	Against
Eli Lilly and Company	LLY	532457108	2024-05-06	Shareholder Proposal Regarding Diversity and Inclusion Report	ShrHoldr	Voted	Against	For
Eli Lilly and Company	LLY	532457108	2024-05-06	Shareholder Proposal Regarding Extended Patent Exclusivities and Application for Secondary and Tertiary Patents	ShrHoldr	Voted	Against	For
Eli Lilly and Company	LLY	532457108	2024-05-06	Shareholder Proposal Regarding Human Rights Policy	ShrHoldr	Voted	Against	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Elect Jacqueline K. Barton	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Elect Jeffrey A. Bluestone	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Elect Sandra J. Horning	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Elect Kelly A. Kramer	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Elect Ted W. Love	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Elect Harish Manwani	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Elect Daniel P. O'Day	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Elect Javier J. Rodriguez	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Elect Anthony Welters	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Ratification of Auditor	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Amendment to Certificate of Incorporation Regarding Officer Exculpation	Mgmt	Voted	Against	Against
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Shareholder Proposal Regarding Non-Management Employee Representation on the Board	ShrHoldr	Voted	Against	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Shareholder Proposal Regarding Report on Company Response to State Policies Regulating Abortion	ShrHoldr	Voted	Against	For
Gilead Sciences, Inc.	GILD	375558103	2024-05-08	Shareholder Proposal Regarding Retention of Shares Until Normal Retirement Age	ShrHoldr	Voted	For	Against
GSK Plc	GSK	G3910J179	2024-05-08	Accounts and Reports	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Remuneration Report	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Wendy Becker	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Jeannie Lee	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Sir Jonathan R. Symonds	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Emma N. Walmsley	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Julie Brown	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Elizabeth McKee Anderson	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Charles A. Bancroft	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Hal V. Barron	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Anne Beat	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Harry Dietz	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Jesse Goodman	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Elect Vishal Sikka	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Appointment of Auditor	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Authority to Set Auditor's Fees	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Authorisation of Political Donations	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Authority to Issue Shares w/ Preemptive Rights	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Authority to Issue Shares w/o Preemptive Rights	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment)	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Authority to Repurchase Shares	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Approve Exemption from Statement of the Senior Statutory Auditor's name in published Auditors' Reports	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Authority to Set General Meeting Notice Period at 14 Days	Mgmt	Voted	For	For
GSK Plc	GSK	G3910J179	2024-05-08	Non-Voting Meeting Note	N/A	Voted	N/A	N/A
Intellia Therapeutics, Inc.	NTLA	45826105	2024-06-12	Elect Fred E. Cohen	Mgmt	Voted	For	For
Intellia Therapeutics, Inc.	NTLA	45826105	2024-06-12	Elect Frank Verwiel	Mgmt	Voted	For	For
Intellia Therapeutics, Inc.	NTLA	45826105	2024-06-12	Ratification of Auditor	Mgmt	Voted	For	For
Intellia Therapeutics, Inc.	NTLA	45826105	2024-06-12	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Intellia Therapeutics, Inc.	NTLA	45826105	2024-06-12	Amendment Regarding Officer Exculpation	Mgmt	Voted	Against	Against
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Craig H. Barratt	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Joseph C. Beery	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Lewis Chew	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Gary S. Guthart	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Amal M. Johnson	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Sreetakshmi Kolli	Mgmt	Voted	Against	Against
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Amy L. Ladd	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Keith R. Leonard, Jr.	Mgmt	Voted	For	For

Middlefield Healthcare Dividend Fund

Proxy Voting Record

July 1, 2023 to June 30, 2024

Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	For/Against Management?
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Jami Dover Nachtsheim	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Monica P. Reed	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Elect Mark J. Rubash	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Ratification of Auditor	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Amendment to the 2010 Incentive Award Plan	Mgmt	Voted	Against	Against
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Amendment to the 2000 Employee Stock Purchase Plan	Mgmt	Voted	For	For
Intuitive Surgical, Inc.	ISRG	46120E602	2024-04-25	Shareholder Proposal Regarding Median Gender and Racial Pay Equity Report	ShrHoldr	Voted	Against	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Darius Adamczyk	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Mary C. Beckerte	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect D. Scott Davis	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Jennifer A. Doudna	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Joaquin Duato	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Marillyn A. Hewson	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Paula A. Johnson	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Hubert Joly	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Mark B. McClellan	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Anne M. Mulcahy	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Mark A. Weinberger	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Nadja Y. West	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Elect Eugene A. Woods	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Ratification of Auditor	Mgmt	Voted	For	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Shareholder Proposal Regarding Report on Compensation and Health Benefit Gaps	ShrHoldr	Voted	Against	For
Johnson & Johnson	JNJ	478160104	2024-04-25	Shareholder Proposal Regarding Patent Exclusivities	ShrHoldr	Voted	Abstain	Against
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect Richard H. Carmona	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect Dominic J. Caruso	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect W. Roy Dunbar	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect James H. Hinton	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect Donald R. Knauss	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect Bradley E. Lerman	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect Linda P. Mantia	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect Maria Martinez	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect Susan R. Salka	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect Brian S. Tyler	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Elect Kathleen Wilson-Thompson	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Ratification of Auditor	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Frequency of Advisory Vote on Executive Compensation	Mgmt	Voted	1 Year	For
Mckesson Corporation	MCK	58155Q103	2023-07-21	Shareholder Proposal Regarding Severance Approval Policy	ShrHoldr	Voted	Against	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Craig Arnold	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Scott C. Donnelly	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Lidia L. Fonseca	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Andrea J. Goldsmith	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Randall J. Hogan, III	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Gregory P. Lewis	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Kevin E. Lofton	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Geoffrey Straub Martha	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Elizabeth G. Nabel	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Denise M. O'Leary	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Elect Kendall J. Powell	Mgmt	Voted	Against	Against
Medtronic Plc	MDT	G5960L103	2023-10-19	Appointment of Auditor and Authority to Set Fees	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Approval of the 2024 Employee Stock Purchase Plan	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Authority to Issue Shares w/ Preemptive Rights	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Authority to Issue Shares w/o Preemptive Rights	Mgmt	Voted	For	For
Medtronic Plc	MDT	G5960L103	2023-10-19	Authority to Repurchase Shares	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Douglas M. Baker, Jr.	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Mary Ellen Coe	Mgmt	Voted	For	For

Middlefield Healthcare Dividend Fund

Proxy Voting Record

July 1, 2023 to June 30, 2024

Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	For/Against Management?
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Pamela J. Craig	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Robert M. Davis	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Thomas H. Glocer	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Risa Lavizzo-Mourey	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Stephen L. Mayo	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Paul B. Rothman	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Patricia F. Russo	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Christine E. Seidman	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Inge G. Thulin	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Elect Kathy J. Warden	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Ratification of Auditor	Mgmt	Voted	For	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Voted	Against	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Shareholder Proposal Regarding Government Censorship Transparency Report	ShrHoldr	Voted	Against	For
Merck & Co., Inc.	MRK	58933Y105	2024-05-28	Shareholder Proposal Regarding Report on Civil Rights and Non-Discrimination	ShrHoldr	Voted	Against	For
Natera, Inc.	NTRA	632307104	2024-06-12	Elect Roelof F. Botha	Mgmt	Voted	For	For
Natera, Inc.	NTRA	632307104	2024-06-12	Elect Steve Chapman	Mgmt	Voted	For	For
Natera, Inc.	NTRA	632307104	2024-06-12	Elect Matthew Rabinowitz	Mgmt	Voted	For	For
Natera, Inc.	NTRA	632307104	2024-06-12	Ratification of Auditor	Mgmt	Voted	For	For
Natera, Inc.	NTRA	632307104	2024-06-12	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Natera, Inc.	NTRA	632307104	2024-06-12	Amendment to the 2015 Equity Incentive Plan	Mgmt	Voted	For	For
Novartis AG	NOVN	H5820Q150	2024-03-05	Accounts and Reports	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Approval of Non-Financial Statement Reports	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Ratification of Board and Management Acts	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Allocation of Dividends	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Cancellation of Shares and Reduction in Share Capital	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Board Compensation	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Executive Compensation (Total)	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Compensation Report	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Elect Jorg Reinhardt as Board Chair	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Elect Nancy C. Andrews	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Elect Ton Buchner	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Elect Patrice Bula	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Elect Elizabeth Doherty	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Elect Bridgette P. Heller	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Elect Daniel Hochstrasser	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Elect Frans van Houten	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Elect Simon Moroney	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Elect Ana de Pro Gonzalo	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Elect Charles L. Sawyers	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Elect William T. Winters	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Elect John D. Young	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Elect Patrice Bula as Compensation Committee Member	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Elect Bridgette P. Heller as Compensation Committee Member	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Elect Simon Moroney as Compensation Committee Chair	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Elect William T. Winters as Compensation Committee Member	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Appointment of Auditor	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Appointment of Independent Proxy	Mgmt	Take No Action	TNA	N/A
Novartis AG	NOVN	H5820Q150	2024-03-05	Transaction of Other Business	Mgmt	Take No Action	TNA	N/A
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Accounts and Reports	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Allocation of Profits/Dividends	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Remuneration Report	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Directors' Fees	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Indemnification of the Board of Directors	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Indemnification of the Executive Management	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Amendments to Articles	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Remuneration Policy	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Election of Helge Lund as Chair	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Election of Henrik Poulsen as Vice Chair	Mgmt	Voted	For	For

Middlefield Healthcare Dividend Fund

Proxy Voting Record

July 1, 2023 to June 30, 2024

Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	For/Against Management?
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Elect Laurence Debroux	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Elect Andreas Fibig	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Elect Sylvie Gregoire	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Elect Kasim Kutay	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Elect Christina Choi Lai Law	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Elect Martin Mackay	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Appointment of Auditor	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Cancellation of Shares	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Authority to Repurchase Shares	Mgmt	Voted	For	For
Novo Nordisk A/S	NOVOB	K72807140	2024-03-21	Authority to Issue Shares w/ or w/o Preemptive Rights	Mgmt	Voted	For	For
Regeneron Pharmaceuticals, Inc.	REGN	75886F107	2024-06-14	Elect N. Anthony Coles	Mgmt	Voted	For	For
Regeneron Pharmaceuticals, Inc.	REGN	75886F107	2024-06-14	Elect Kathryn Guarini	Mgmt	Voted	For	For
Regeneron Pharmaceuticals, Inc.	REGN	75886F107	2024-06-14	Elect Arthur F. Ryan	Mgmt	Voted	Against	Against
Regeneron Pharmaceuticals, Inc.	REGN	75886F107	2024-06-14	Elect David P. Schenkein	Mgmt	Voted	For	For
Regeneron Pharmaceuticals, Inc.	REGN	75886F107	2024-06-14	Elect George L. Sing	Mgmt	Voted	For	For
Regeneron Pharmaceuticals, Inc.	REGN	75886F107	2024-06-14	Ratification of Auditor	Mgmt	Voted	For	For
Regeneron Pharmaceuticals, Inc.	REGN	75886F107	2024-06-14	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Regeneron Pharmaceuticals, Inc.	REGN	75886F107	2024-06-14	Shareholder Proposal Regarding Simple Majority Vote	ShrHoldr	Voted	For	Against
Sanofi	SAN	F5548N101	2024-04-30	Accounts and Reports; Non Tax-Deductible Expenses	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Consolidated Accounts and Reports	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Allocation of Profits/Dividends	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Elect Rachel Duan	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Elect Lise Kingo	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Elect Clotilde Delbos	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Elect Anne-Francoise Nesmes	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Elect John Sundry	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	2023 Remuneration Report	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	2023 Remuneration of Serge Weinberg, Chair (until May 25, 2023)	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	2023 Remuneration of Frederic Oudea, Chair (from May 25, 2023)	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	2023 Remuneration of Paul Hudson, CEO	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	2024 Remuneration Policy (Board of Directors)	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	2024 Remuneration Policy (Chair)	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	2023 Remuneration Policy (CEO)	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Appointment of Auditor (Mazars)	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Appointment of Auditor for Sustainability Reporting (Mazars)	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Appointment of Auditor for Sustainability Reporting (Pricewaterhouse Coopers)	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Authority to Repurchase and Reissue Shares	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Authority to Issue Performance Shares	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Employee Stock Purchase Plan	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Stock Purchase Plan for Overseas Employees	Mgmt	Voted	For	For
Sanofi	SAN	F5548N101	2024-04-30	Authorisation of Legal Formalities	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Elect Mary K. Brainerd	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Elect Giovanni Caforio	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Elect Allan C. Golston	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Elect Kevin A. Lobo	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Elect Sherilyn S. McCoy	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Elect Rachel Ruggeri	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Elect Andrew K. Silvernail	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Elect Lisa M. Skeete Tatum	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Elect Ronda E. Stryker	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Elect Rajeev Suri	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Ratification of Auditor	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Stryker Corporation	SYK	863667101	2024-05-09	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Voted	For	Against
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect Marc N. Casper	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect Nelson J. Chai	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect Ruby R. Chandny	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect C. Martin Harris	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect Tyler Jacks	Mgmt	Voted	For	For

Middlefield Healthcare Dividend Fund

Proxy Voting Record

July 1, 2023 to June 30, 2024

Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	For/Against Management?
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect Jennifer M. Johnson	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect R. Alexandra Keith	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect James C. Mullen	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect Debora L. Spar	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect Scott M. Sperling	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Elect Dion J. Weisler	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Ratification of Auditor	Mgmt	Voted	For	For
Thermo Fisher Scientific Inc.	TMO	883556102	2024-05-22	Shareholder Proposal Regarding Simple Majority Vote	ShrHoldr	Voted	Against	For
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Elect Charles Baker	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Elect Timothy P. Flynn	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Elect Paul R. Garcia	Mgmt	Voted	Against	Against
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Elect Kristen Gil	Mgmt	Voted	Against	Against
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Elect Stephen J. Hemsley	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Elect Michele J. Hooper	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Elect F. William McNabb, III	Mgmt	Voted	Against	Against
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Elect Valerie C. Montgomery Rice	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Elect John H. Noseworthy	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Elect Andrew Witty	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Ratification of Auditor	Mgmt	Voted	For	For
UnitedHealth Group Incorporated	UNH	91324P102	2024-06-03	Shareholder Proposal Regarding Report on Political Expenditures and Values Congruency	ShrHoldr	Voted	Against	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Melody C. Barnes	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Theodore R. Bigman	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Debra A. Cafaro	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Michael J. Embler	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Matthew J. Lustig	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Roxanne M. Martino	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Marguerite M. Nader	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Sean Nolan	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Walter C. Rakowich	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Joe V. Rodriguez	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Sumit Roy	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Elect Maurice Smith	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Ventas, Inc.	VTR	92276F100	2024-05-14	Ratification of Auditor	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Sangeeta N. Bhatia	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Lloyd A. Carney	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Alan M. Garber	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Reshma Kewalramani	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Michel Lagarde	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Jeffrey M. Leiden	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Diana L. McKenzie	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Bruce I. Sachs	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Jennifer Schneider	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Nancy A. Thornberry	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Elect Suketu Upadhyay	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Ratification of Auditor	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Shareholder Proposal Regarding Right to Call Special Meeting	ShrHoldr	Voted	For	Against
Vertex Pharmaceuticals Incorporated	VRTX	92532F100	2024-05-15	Shareholder Proposal Regarding Median Gender and Racial Pay Equity Report	ShrHoldr	Voted	Against	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Paul M. Bisaro	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Vanessa Broadhurst	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Frank A. D'Amelio	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Gavin D. K. Hattersley	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Sanjay Khosla	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Antoinette R. Leatherberry	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Michael B. McCallister	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Gregory Norden	Mgmt	Voted	For	For

Middlefield Healthcare Dividend Fund
Proxy Voting Record
July 1, 2023 to June 30, 2024

Issuer Name	Ticker	Security ID	Meeting Date	Proposal Text	Proponent	Voted?	How Voted?	For/Against Management?
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Louise M. Parent	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Kristin C. Peck	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Willie M. Reed	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Elect Robert W. Scully	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Advisory Vote on Executive Compensation	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Ratification of Auditor	Mgmt	Voted	For	For
Zoetis Inc.	ZTS	98978V103	2024-05-22	Amendment Regarding Officer Exculpation	Mgmt	Voted	Against	Against
Zoetis Inc.	ZTS	98978V103	2024-05-22	Shareholder Proposal Regarding Mandatory Director Resignation Policy	ShrHoldr	Voted	For	Against